

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
MARK A. HENNINGER, ET AL. )  
Application Number: Unassigned )  
Filed: Unassigned )  
Title: COMPENSATORY RATIO HEDGING )  
Attorney Docket No.: 00-416 )

JC882 U.S. PTO  
09/738618  
12/15/00



Peoria, Illinois 61629-6490

December 15, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

The following information and attached material are submitted for the Examiner's consideration relative to one or more of the claims in the above-identified application. A PTO 1449 (SB08) form and a copy, if required, of each item listed thereon are attached.

Respectfully submitted,

  
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Enc.

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PTO/SB/08A (10-96)  
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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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of

2

***Complete if Known***

**Application Number** (Unassigned)

**Filing Date** (Herewith)

**First Named Inventor** Mark A. Henning

Group Art Unit (Unassigned)

Examiner Name (Unassigned)

Attorney Docket Number 00-416

U.S. FTD  
JC882 138618  
09/26/15/00

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Application Number</b> (Unassigned) <b>Filing Date</b> (Herewith) <b>First Named Inventor</b> Mark A. Henninger ET AL. <b>Group Art Unit</b> (Unassigned) <b>Examiner Name</b> (Unassigned)	<b>Attorney Docket Number</b> 00-416
Sheet	2	of	2		

#### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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